

# APPENDIX A

**APPENDIX A**<sup>1</sup>  
Summary of E-Mail Exhibits

<b><u>Entity</u></b>	<b><u>Action</u></b>	<b><u>Exhibit</u></b>	<b><u>Date</u></b>	<b><u>Summary</u></b>
BNP Paribas Fortis (f/k/a Fortis Bank SA/NV)	11-ap- 1617	1	12/22/04	<p>Denis Sagaert of Fortis Bank e-mails to Veronica Barco at FGG in New York requesting to make a \$5 million investment in Sentry and requesting the following due diligence:</p> <ul style="list-style-type: none"> <li>• “the subscription documents</li> <li>• the redemption form, if a specific form is used for that.</li> <li>• a copy of the fund’s Memorandum and Articles of Association.</li> <li>• a copy of the Investment Management Agreement</li> <li>• a copy of the Administration Agreement”</li> <li>• and, a questionnaire to the Sentry administrator.</li> </ul> <p>FGG U.S. works to respond to these requests.</p>
		2	03/22/05	Bernard Lempereur of Fortis Bank and CFSE correspond regarding proper authorization for placing a \$5,000,000 investment in Sentry.
BNP Paribas España (f/k/a Fortis Bank (España))	12-ap- 1551	3	09/12/07	Myle Trinh of FGG e-mails Citco and Griselda Pena of Fortis Bank España regarding a “Due diligence letter”
		4	03/25/08	An FGG U.S. employee corresponds with Ana Sala of Fortis España regarding fee payments pursuant to its Sigma investments.
		5	08/06/07	CFSE e-mails Griselda Pena of Fortis España regarding an incorrect wire and the need for additional information “for auditing and KYC purposes.” Griselda provides the completed document to enable approximately an \$1.5 million investment in Sentry.
BNP Paribas Securities Services Luxembourg	10-ap- 3627	6	06/28/06	Caroline Martinot of BNPP SSL e-mails Citco requesting a Prospectus of Sentry for BNP SSL’s audit.
		7	01/28/04	Isabelle Bisdorff of BNPP SSL corresponds with Annie Hudson of FGG in the U.S. First she receives an “Offering Memorandum, Subscription Documents and latest published tear sheet for Fairfield Sentry B” and then Bisdorff requests permission for a subscription of \$1 million on behalf of BNP SSL. Hudson replies with her approval.

<sup>1</sup> The e-mails included in Appendices A-F are only a sample of documents demonstrating the use of e-mail at each of the BNP Defendants. This selection should not be considered complete and the Liquidators reserve the right to put forward additional evidence at a later date.

<u>Entity</u>	<u>Action</u>	<u>Exhibit</u>	<u>Date</u>	<u>Summary</u>
		8	02/20/08	Aurore Foidart of BNPP SSL corresponds with Citco requesting updated wiring instructions for Fairfield Sigma. Citco responds, attaching an October 2004 offering memorandum for Sigma.
BNP Paribas (Suisse) SA (f/k/a BNP Paribas (Suisse) SA Ex Fortis and BNP Paribas (Suisse) SA Private)	10-ap-3635, 10-ap-3636	9	09/24/08	Pierre Theurillat of BNP Paribas (Suisse) SA e-mails Citco seeking assistance regarding an issue in transferring 234.51 shares of Sentry in favor of BNP Paribas (Suisse) SA.
		10	06/09/06	Catherine Truong e-mails CFSE regarding a Redemption on behalf of BNP Paribas (Suisse) SA. CFSE offers to send a request for authorization from BNP Paribas (Suisse) SA to its "registered e-mail address GENEVE.FOND.OPS@BNPParibas.com"
		11	05/15/06	CFSE e-mails BNP Paribas (Suisse) SA's registered e-mail address regarding amended instructions for a redemption in Sentry.
BGL BNP Paribas S.A. (f/k/a BNP Paribas Luxembourg SA)	10-ap-3626	12	08/29/06	Geoffrey Lemaire of BNP Paribas Luxembourg e-mails with Citco regarding BNP Paribas's position in Fairfield Sigma.
		13	09/07/06	Michele Laroche e-mails Citco regarding a stock transfer form for 93.56 shares of Sentry and attaching a form signed by Martine Kirsch of BNP Paribas Luxembourg, SA. Citco replies requesting additional authorization.
BNP Paribas Securities Nominees Ltd. (a/k/a Harrier Holdings Ltd.)	11-ap-1579	14	09/10/08	Brittany Easey of BNP Paribas Securities Nominees Ltd. corresponds with Citco requesting Citco promptly complete a questionnaire document and spreadsheet to re-register the Fairfield Sentry shares of Harrier Holdings Ltd. in the name of BNP Paribas Securities Nominees Ltd. She requests that Citco reply by e-mail to bp2sukoffshore.clfre-registrations@bnpparibas.com. Citco replies with questionnaires for, inter alia, Fairfield Sentry and Sigma.
		15	08/27/07	Louise Jarvis of BNP Paribas Securities Nominees Ltd. e-mails Citco regarding a subscription agreement in Sentry for Harrier Holdings Ltd. Citco replies asking to confirm the signature list and that the subscription request has been approved by Sentry.
		16	12/23/08	Marta Neubauer of BNP Paribas e-mails Citco requesting confirmation that a subscription in Sentry on behalf of Harrier Holdings Ltd. had been confirmed. Citco replies requesting an amended subscription agreement and further forwards that request to Michael Biggs, another individual affiliated with BNP Paribas.

# Exhibit 1

## Microsoft Outlook

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**From:** Zourakhti, Karim AMS  
**Sent:** Wednesday, December 22, 2004 11:47 AM  
**To:** 'Veronica Barco'  
**Cc:** Lokhorst, Bert AMS; Marugg, Robertino AMS  
**Subject:** RE: Fairfield Sentry - due diligence on behalf of Fortis Bank  
**Attachments:** Fairfield Sentry Questions to Administrator1.doc; Senrty NAVs Class A.xls

Hi Veronica,

As per your request, please find below the completed questionnaire. Please let me know if I should forward the information to MR.Sageart at Fortis Bank.

Kind regards,  
Karim

-----Original Message-----

From: Veronica Barco [mailto:veronica@fggus.com]  
Sent: Monday, December 20, 2004 11:49 PM  
To: Karim  
Subject: FW: Fairfield Sentry - due diligence on behalf of Fortis Bank

Please acknowledge receipt.

Thank you,

Veronica Barco  
FAIRFIELD GREENWICH GROUP  
919 Third Avenue, 11th Floor  
New York, New York 10022  
Tel: (212) 319 6060  
Fax: (212) 319 0450

-----Original Message-----

From: Veronica Barco  
Sent: Tuesday, December 14, 2004 12:17 PM  
To: Karim  
Cc: Lauren Ross  
Subject: FW: Fairfield Sentry - due diligence on behalf of Fortis Bank

Dear Karim,

Please let us know if you can assist on this request while Bert is on holidays.

Should you have any questions, please do not hesitate to contact us.

Best regards,

Veronica Barco  
FAIRFIELD GREENWICH GROUP  
919 Third Avenue, 11th Floor  
New York, New York 10022  
Tel: (212) 319 6060  
Fax: (212) 319 0450

-----Original Message-----

From: Veronica Barco  
Sent: Friday, December 10, 2004 11:11 AM  
To: Bert Lokhorst  
Cc: Gordon McKenzie  
Subject: FW: Fairfield Sentry - due diligence on behalf of Fortis Bank

Dear Mr. Lokhorst,

Please advise if this information was received yesterday. Please advise as well the time frame for its execution.

Thank you,

Veronica Barco  
FAIRFIELD GREENWICH GROUP  
919 Third Avenue, 11th Floor  
New York, New York 10022  
Tel: (212) 319 6060  
Fax: (212) 319 0450

-----Original Message-----

From: Veronica Barco  
Sent: Wednesday, December 08, 2004 6:13 PM  
To: Bert Lokhorst  
Cc: Cornelis Boele; Gordon McKenzie  
Subject: FW: Fairfield Sentry - due diligence on behalf of Fortis Bank

Dear Mr. Lokhorst,

As requested by Mr. Gordon McKenzie from our offices in Bermuda, please complete the attached questionnaire on behalf of Fortis Bank. The client is doing a due diligence in Fairfield Sentry and would like to receive this information completed by Citco. Please let me know should you have any questions.

Thank you for the attention to this matter.

Best regards,

Veronica Barco  
FAIRFIELD GREENWICH GROUP  
919 Third Avenue, 11th Floor  
New York, New York 10022  
Tel: (212) 319 6060  
Fax: (212) 319 0450

-----Original Message-----

From: Gordon McKenzie  
Sent: Wednesday, December 08, 2004 10:04 AM  
To: Veronica Barco  
Subject: RE: Fairfield Sentry - due diligence on behalf of Fortis Bank

Veronica,

Please forward this document to Citco, either Karim or Bert as the questions are addressed to them.

-----Original Message-----

From: Veronica Barco  
Sent: Tuesday, December 07, 2004 3:49 PM  
To: Amit Vijayvergiya; Gordon McKenzie  
Cc: Dan Lipton; Mark McKeefry; Cornelis Boele  
Subject: Fairfield Sentry - due diligence on behalf of Fortis Bank

Dear All,

The client has requested the following information as part of their ongoing due diligence:

- \* a copy of the Investment Management Agreement (Mark, do we have an updated version for this document?)
- \* a copy of the Administration Agreement
- \* attached questionnaire for Citco to complete (Gordon, please look into this questionnaire and let me know if we have to forward the document to Citco)
- \* Example of a VaR report (Amit is currently working on this item)

Thank you all for your attention to the above matter.

PS: Fortis has signed already an NDA.

Best regards,

Veronica Barco  
FAIRFIELD GREENWICH GROUP  
919 Third Avenue, 11th Floor  
New York, New York 10022

Tel: (212) 319 6060  
Fax: (212) 319 0450

-----Original Message-----

From: DENIS.SAGAERT@fortisbank.com [mailto:DENIS.SAGAERT@fortisbank.com]  
Sent: Tuesday, December 07, 2004 10:54 AM  
To: Veronica Barco  
Subject:

Dear Ms Barco,

I am pleased to announce that our Alternative Investment Committee has approved a US\$ 5 million investment in Fairfield Sentry Ltd. for January 1. This in accordance with your e-mail of October 19, in which you stated that the capacity available to us would be US\$ 5 million on January 1.

This being said, we always do a small administrative due diligence and I hope to be able to complete this in time for the investment. By order of importance, I would need :

- \* the subscription documents
- \* the redemption form, if a specific form is used for that.
- \* a copy of the fund's Memorandum and Articles of Association.
- \* a copy of the Investment Management Agreement
- \* a copy of the Administration Agreement
- \* In addition I would like you to send the following questions to the administrator and ask them to answer to us directly :

<<Fairfield Sentry Questions to Administrator.doc>>

Finally, I understand (according to your latest answers) that a risk report (VaR report ?) is available for investors upon request. We would like to see an example of this.

Do not hesitate to contact me if you have any questions. I will not be in the office tomorrow but will be on Thursday.

Best regards.

Denis Sagaert  
Manager Alternative Investments  
Fortis Bank (1MU1O)  
Montagne du Parc 3  
1000 Brussels  
Belgium

Tel. : 32 (0)2 565 52 95  
Fax : 32 (0)2 565 62 36



Denis.Sagaert@fortisbank.com

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## **Exhibit 2**

## Microsoft Outlook

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**From:** Oever, Marianne van den AMS  
**Sent:** Tuesday, March 22, 2005 1:33 PM  
**To:** 'Bernard.LEMPEREUR@fortisbank.com'  
**Cc:** Fernandez, Maria João AMS; AMS CFS Trades  
**Subject:** RE: FAIRFIELD SENTRY subscription agreement

Dear Mr. lempereur,

That will be perfect!  
Thank you for your kind cooperation.

Kind regards,  
Marianne van den Oever

-----Original Message-----

From: Bernard.LEMPEREUR@fortisbank.com  
[mailto:Bernard.LEMPEREUR@fortisbank.com]  
Sent: Tuesday, March 22, 2005 4:08 PM  
To: 'Oever, Marianne van den AMS'  
Subject: RE: FAIRFIELD SENTRY subscription agreement

Actually I will send you the page 11 signed by the 2 A signatures which appeared on page 10 ( M. Van Der Want and J. Deukeleire) .  
Is that ok for you ?

Best regards

Bernard LEMPEREUR  
Financial Management Director,Portfolio

FORTIS BANK  
3 warandenberg (1MU10)  
1160 Brussels Belgium

Phone: 32.2.565.6153  
Fax: 32.2.565.6236  
E-Mail: bernard.lempereur@fortisbank.com

-----Original Message-----

From: Oever, Marianne van den AMS [mailto:MvandenOever@Citco.com]  
Sent: Tuesday, March 22, 2005 10:57 AM  
To: Lempereur Bernard  
Cc: "Fernandez, Maria João AMS"; AMS CFS Trades  
Subject: RE: FAIRFIELD SENTRY subscription agreement

Dear Mr. Lempereur,

I have just received the signed subscription agreement after I had sent the below email.

However, the first agreement has the specimen of 2 A signatures on page 10. According to the definition of minimal required signature instructions supplied by your office, the agreement should be signed by at least 1 A and 1 B signature (on page 11). As we do not have the specimen of your signature on file, could you please forward us an updated signature list showing your signature specimen and have the subscription agreement signed according to the instructions supplied?

Please sent it by fax or email, after which the original can follow later by mail, so we can process your subscription request as soon as possible.

Thanking your in advance,

Kind regards,  
Marianne van den Oever

-----Original Message-----

From: Bernard.LEMPEREUR@fortisbank.com  
[mailto:Bernard.LEMPEREUR@fortisbank.com]  
Sent: Tuesday, March 22, 2005 10:32 AM  
To: 'Oever, Marianne van den AMS'  
Subject: RE: FAIRFIELD SENTRY subscription agreement

Hello Marianne

This doc has been sent on March 18 to your attention at Naritaweg 165  
Amsterdam .  
Did you not receiceive it ?

Best regards

Bernard LEMPEREUR  
Financial Management Director,Portfolio

FORTIS BANK  
3 warandeborg (1MU10)  
1160 Brussels Belgium

Phone: 32.2.565.6153  
Fax: 32.2.565.6236  
E-Mail: bernard.lempereur@fortisbank.com

-----Original Message-----

From: Oever, Marianne van den AMS [mailto:MvandenOever@Citco.com]  
Sent: Tuesday, March 22, 2005 10:17 AM  
To: Lempereur Bernard  
Cc: AMS CFS Trades; "Fernandez, Maria João AMS"  
Subject: FW: FAIRFIELD SENTRY subscription agreement  
Importance: High

Dear Sirs,

Kind reminder.

Kind regards,  
Marianne van den Oever

> -----Original Message-----  
> From: Oever, Marianne van den AMS  
> Sent: Monday, March 14, 2005 12:57 PM  
> To: 'bernard.lempereur@fortisbank.com'  
> Cc: Fernandez, Maria João AMS; AMS CFS Trades  
> Subject: FAIRFIELD SENTRY subscription agreement  
> Importance: High  
>  
> Dear Sirs,  
>  
> Regarding your request to subscribe USD 5,000,000.00 into the above fund  
> in name of Fortis SA/NV, please sign the subscription agreement on page  
> 11, as already requested on the confirmation of order received, and  
> forward it as soon as possible to the below mentioned contact details.  
>  
> Kind regards,  
> Marianne van den Oever  
>  
> Investor Relations Group  
>  
> Citco Fund Services (Europe) BV  
> Naritaweg 165  
> 1043 BW Amsterdam  
> The Netherlands  
> Tel +31 20 57 22 144  
> Fax +31 20 57 22 610  
> E-mail MvandenOever@Citco.com  
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>  
>  
>  
> <<FAIRFIELD SENTRY SUB Fortis Bank 5,000,000.00 USD (approved by Jeffrey,  
> pending list 02/23/05)>>

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# **Exhibit 3**

## Microsoft Outlook

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**From:** griselda.pena@fortis.com  
**Sent:** Wednesday, September 12, 2007 6:45 PM  
**To:** AMS CFS Treasury  
**Subject:** FW: Due diligence letter Fortis Espana  
**Attachments:** DOC.PDF

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

**ALLOC:** WJK  
**Allocated:** KYC

Dear Jan,

We attaching this document.

Best regards.

Griselda Pena i Sans  
Dep. Fondos a Terceros  
FORTIS BANK Sucursal en España  
Telf. 934677566  
Fax. 932151001

---

**From:** Griselda Pena  
**Sent:** miércoles, 12 de septiembre de 2007 16:28  
**To:** 'Myle Trinh'  
**Cc:** 'Cristina.Vila@fortis.com'  
**Subject:** FW: Due diligence letter Fortis Espana

Buenas Tardes Myle,

Te adjunto el documento que nos piden.

Un saludo,

Griselda Pena i Sans  
Dep. Fondos a Terceros  
FORTIS BANK Sucursal en España  
Telf. 934677566  
Fax. 932151001

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**From:** Cristina Vila  
**Sent:** miércoles, 12 de septiembre de 2007 16:18



**To:** Griselda Pena  
**Subject:** RV: Due diligence letter Fortis Espana

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**De:** Myle Trinh [mailto:mtrinh@fggus.com]  
**Enviado el:** miércoles, 12 de septiembre de 2007 14:45  
**Para:** Cristina.Vila@fortis.com  
**Asunto:** FW: Due diligence letter Fortis Espana

Hola Cristina. Dime si puedes conseguir esto que piden en el e-mail adjunto. Gracias.

**Myle Trinh**  
**Fairfield Greenwich Group**  
C/ Serrano, N° 26, 8° Izquierda  
Madrid 28001 - SPAIN  
Tel. +34 91 577.57.51  
Fax. +34 91 575.92.31  
e-mail: mtrinh@fgges.com

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**From:** AMS CFS Treasury [mailto:AMSCFSTreasury@Citco.com]  
**Sent:** miércoles, 12 de septiembre de 2007 14:26  
**To:** Myle Trinh  
**Cc:** AMS CFS Treasury  
**Subject:** Due diligence letter Fortis Espana

Hi Myle,

Please find below an example of what must be stated in the letter.  
The letter needs to be printed on paper with the bank's letterhead and needs to be duly signed.

'We confirm that this client has been identified by the remitting bank, and that satisfactory evidence of the identity of mentioned client is on file and will on request be provided.'

Should you have any further questions, don't hesitate to contact us.

Best Regards,

Wout-Jan Klerkx  
Treasury Officer  
Investor Relations

Citco Fund Services  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands

Phone: +31 20 5722 913  
Fax: + 31 20 5722 610  
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# **Exhibit 4**

## Microsoft Outlook

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**From:** Myle Trinh <mtrinh@fggus.com>  
**Sent:** Tuesday, March 25, 2008 11:24 AM  
**To:** Looy, Michel (Citco)  
**Cc:** CFS Factshelp; Juan Galobart; Carla Castillo  
**Subject:** RE: Fairfield Sigma

Dear Michel. Please, let me know the amount they are receiving for these shares. The client needs it for a report.

The client also comments that the account "Beta Capital SVB" should be called "Fortis Bank (España)". According to the client, they have sent the request to change the name several times but it is still with the wrong name. Could you amend it?

Thank you very much.

Best regards.

Myle Trinh  
Vice President - Fairfield Greenwich Group  
C/ Serrano, N° 26, 8° Izquierda  
Madrid 28001 - SPAIN  
Tel. +34 91 577.64.75  
Tel. +34 91 577.57.51  
Móv. +34 62 964.59.40  
e-mail: mtrinh@fggus.com

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**From:** Looy, Michel (Citco) [mailto:MLooy@Citco.com]  
**Sent:** Tuesday, March 04, 2008 2:47 PM  
**To:** Myle Trinh  
**Cc:** CFS Factshelp  
**Subject:** RE: Fairfield Sigma

Dear Myle,

Regarding the 1,124.759 shs, we have amended the transfer as per 11-1-2007. We have calculated a correction for Fortis and this will be added to their Q1 payment.

Thank you very much and have a nice day.

*Kind Regards,  
Michel Looy*

*Citco Fund Services (Europe) B.V.  
Telestone - Teleport  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands*

*Tel: + 31 20 5722139  
Fax: + 31 20 5722614  
Email: [mlooy@citco.com](mailto:mlooy@citco.com)*

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**From:** Myle Trinh  
**Sent:** Thursday, February 14, 2008 11:34 PM  
**To:** Judith Terlien  
**Cc:** 'CFS Factshelp'; Juan Galobart; Carla Castillo  
**Subject:** FW: Fairfield Sigma

Dear Judith. Please note that in the account FS/SIS SA/Fortis SGIIC there are 1124.7590 shares missing. They were transferred in November 07 (from CGC UBS). Please amend the fee advice and pay accordingly. Thank you very much.

Best regards.

Myle Trinh  
Vice President  
Fairfield Greenwich Group  
C/ Serrano, N° 26, 8° Izquierda  
Madrid 28001 - SPAIN  
Tel. +34 91 577.57.51  
Móv. +34 62 964.59.40  
Fax. +34 91 575.92.31  
e-mail: mtrinh@fggus.com

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**From:** Ana.Sala@fortis.com [mailto:Ana.Sala@fortis.com]  
**Sent:** Thursday, January 31, 2008 11:06 AM  
**To:** Myle Trinh  
**Subject:** RV: Fairfield Sigma

Hola Myle,

Me envian la parte de Sigma. Veo que en la parte de Fundsettle no han reconocido a Gama Bursatil que invirtió el 20.11.2007 así que en diciembre las participaciones deberían aumentar. A mi me sale que nos corresponden 142,89 euros.

¿Lo puedes mirar?

Gracias, Ana

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**De:** Terlien, Judith (Citco) [mailto:JTerlien@Citco.com]  
**Enviado el:** jueves, 31 de enero de 2008 10:57  
**Para:** Ana.Sala@fortis.com  
**CC:** CFS Factshelp  
**Asunto:** Fairfield Sigma

Dear All,

Please find attached the breakdown for the 4th quarter fee of Fairfield Sigma Limited.  
The fee has been wired for value date January 30, 2008.

Regards,  
Judith

**Judith Terlien**  
**Senior Account Manager**  
**Citco Fund Services (Europe) B.V.**  
**Telestone - Teleport**  
**Naritaweg 165**  
**1043 BW Amsterdam**  
**The Netherlands**

☎(31 20) 5722 112

☎(31 20) 5722 600

✉[JTerlien@Citco.com](mailto:JTerlien@Citco.com)

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# **Exhibit 5**



RE: Fairfield Sentry - subscriptions i/n/o Mint Inversiones & South Hill

Page 1 of 3

**Fernandez, Maria João (Citco)**

---

**From:** griselda.pena@fortis.com  
**Sent:** Monday, August 06, 2007 11:22 AM  
**To:** AMS CFS IRG 3; griselda.pena@fortis.com  
**Cc:** Cristina.Vila@fortis.com  
**Subject:** RE: Fairfield Sentry - subscriptions i/n/o Mint Inversiones & South Hill  
**Attachments:** DOC.PDF  
**Allocated to :** MFE

Dear Joao,

I agree with the re- application for NAV August 31. We are attaching the page 10 complete.

Thanks you.

Best regards.

Griselda Pena i Sans  
Dep. Fondos a Terceros  
FORTIS BANK Sucursal en España  
Telf. 934677566  
Fax. 932151001

---

**From:** AMS CFS IRG 3 [mailto:amscfsirg3@citco.com]  
**Sent:** lunes, 06 de agosto de 2007 10:57  
**To:** griselda.pena@fortis.com  
**Cc:** Cristina.Vila@fortis.com; AMS CFS IRG 3  
**Subject:** RE: Fairfield Sentry - subscriptions i/n/o Mint Inversiones & South Hill

Dear Grizelda,  
As Cristinba is away from the office, could you please take care of below query?

Many thanks in advance.

With kind regards  
João

---

**From:** AMS CFS IRG 3  
**Sent:** Monday, August 06, 2007 10:55 AM  
**To:** 'cristina.vila@fortis.com'  
**Cc:** AMS CFS IRG 3  
**Subject:** Fairfield Sentry - subscriptions i/n/o Mint Inversiones & South Hill  
**Importance:** High

Dear Cristina,

We refer to your following orders in the above Fund:

South Hill SICAV SA - USD 172,500  
Mint Inversiones SICAV SA - USD 1,378,000

8/6/2007

RE: Fairfield Sentry - subscriptions i/n/o Mint Inversiones & South Hill

Page 2 of 3

My colleagues at Treasury Dept informed me that the amounts were incorrectly wired and that therefore they missed the deadline for the payment. We will inform the Fund accordingly.

Furthermore we received the above subscription agreements once again, this time with a date of August 22, 2007. Could you please advise if this is to be considered as your re-application for NAV August 31, 2007? If this is the case we will also inform the Fund and will send you a "confirmation of order received" as soon as they officially approve it.

Additionally, we need you to duly complete page 10 of the subscription agreements (both of them). It includes the registered address of the above companies, the mailing address and the contact details (tel, fax, email). Without this information we cannot book the trades and in the case of South Hill the account is not correctly setup yet due to the missing information and this is not acceptable for auditing and KYC purposes.

Thanks in advance for your cooperation.

With kind regards  
João

Maria João Fernandez  
Senior Investor Relations Officer

Citco Fund Services (Europe) B.V.  
Telestone - Teleport  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands  
General phone: 0031 - 20 5722 850  
Direct phone: 0031 - 20 5722 116  
Fax: 0031 - 20 5722 610  
Email: [AMSCFSIRG3@citco.com](mailto:AMSCFSIRG3@citco.com)  
Website: [www.citco.com](http://www.citco.com)

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8/6/2007

RE: Fairfield Sentry - subscriptions i/n/o Mint Inversiones & South Hill

Page 3 of 3

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8/6/2007

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# **Exhibit 6**

## Microsoft Outlook

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**From:** AMS CFS Client Desk  
**Sent:** Thursday, June 29, 2006 4:50 PM  
**To:** 'caroline.martinot@bnpparibas.com'  
**Subject:** RE: Prospectus  
**Attachments:** Sentry Offering Memo - Final Oct 2004.pdf

Dear Ms. Martinot,

With reference to below email, please find attached the requested prospectus.

Should you have any questions or require further information, please do not hesitate to contact us.

Yours sincerely,

Manon Boskamp  
Client Desk  
Citco Fund Services (Europe) B.V.  
Citco Fund Services (Nederland) B.V.  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands

Tel: Client Desk - (31) 20 5722 850  
Tel: General - (31) 20 5722 100  
Fax: (31) 20 5722 610  
E-Mail: [amscfsclientdesk@citco.com](mailto:amscfsclientdesk@citco.com)

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-----Original Message-----

From: caroline.martinot@bnpparibas.com [mailto:caroline.martinot@bnpparibas.com]  
Sent: Wednesday, June 28, 2006 11:12 AM  
To: AMS CFS Client Desk  
Subject: Prospectus

Dear all,

We are administrator of a Fund (Alton) which invests in your Fund (Sentry Class B).

Could you please send me as soon as possible the prospectus of this Fund for our audit?

Thanks in advance.

Best regards

Caroline MARTINOT

---

BNP PARIBAS FUND SERVICES  
Fund Administration  
Financial Reporting Administrator  
33 rue de Gasperich, Howald-Hesperange  
B.P. 2463  
L-1054 LUXEMBOURG  
Phone : +352 26 96 22 57  
Fax : +352 26 96 97 42  
Email : [caroline.martinot@bnpparibas.com](mailto:caroline.martinot@bnpparibas.com)

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# **Exhibit 7**

## Microsoft Outlook

---

**From:** Terlien, Judith AMS  
**Sent:** Wednesday, January 28, 2004 5:08 PM  
**To:** CFS Factshep  
**Subject:** DONE: FW: Fairfield Sentry B

-----Original Message-----

From: Annie Hudson [mailto:ahudson@fggus.com]  
Sent: Wednesday, January 28, 2004 5:01 PM  
To: Indra Angela; Judith Terlien  
Cc: Corina Piedrahita  
Subject: FW: FW: Fairfield Sentry B

fyi, sorry forgot to cc you, this came in today for Stephane.

-----Original Message-----

From: Annie Hudson  
Sent: 28 January 2004 14:37  
To: 'isabelle.bisdorff@bnpparibas.com'  
Cc: Stephane Muuls  
Subject: RE: FW: Fairfield Sentry B

this is approved, please go ahead.

Many thanks.

Annie Hudson

-----Original Message-----

From: isabelle.bisdorff@bnpparibas.com  
[mailto:isabelle.bisdorff@bnpparibas.com]  
Sent: 28 January 2004 14:00  
To: Annie Hudson  
Subject: Re: FW: Fairfield Sentry B  
Importance: High

Dear Annie,

The subscription will be for USD 1,000,000.- for the account of BNP PARIBAS  
SECURITIES SERVICES LUXEMBOURG A/C BNP PARIBAS JERSEY.

Please let me know if you will accept this transaction if I wire you the  
cash value today and fax you shortly the application form.

Please also confirm that it is a weekly NAV.



Kind regards

Isabelle

Internet

ahudson@fggus.com - 28.01.2004 14:40

To: Isabelle Bisdorff

cc: carl.jreidini, stephane, iangela2, jterlien

Subject: FW: Fairfield Sentry B

Dear Ms Bisdorff

Further to Mr Jreidini's request this morning, please find attached the sub docs for Sentry B. Please take note of the deadline (today) for wiring monies and submitting documents.

If you have any queries, please do not hesitate to contact Stephane Muuls or myself.

With kind regards

Annie Hudson

> -----Original Message-----

> From: Annie Hudson

> Sent: 26 January 2004 11:51

> To: 'carl.jreidini@csfb.com'

> Cc: Stephane Muuls; Corina Piedrahita; Indra Angela; Judith Terlien

> Subject: Fairfield Sentry B

>

> Dear Mr Jreidini

>

> At the request of Stephane Muuls, please find attached the Offering Memorandum, Subscription Documents and latest published tear sheet for Fairfield Sentry B.

>

> The subscription deadline for Fairfield Sentry B is the third to last business day of the month. For February 1 investment, documents and monies need to be received by our fund administrator, CITCO, by Wednesday 28th January.

>

> With regards to a contact point for the remittance procedures, we have a dedicated help-desk at CITCO for any assistance you may need regarding

issues such as wiring instructions, deadlines, due diligence questions, Know Your Client ("KYC") procedures (compliance), dealing with Euroclear etc. The helpline no. is +31 20 5722 830 and the e-mail address is AMSCFSFairfieldGroupClientDesk@Citco.com. Please also contact me in London if you have any queries.

>

> Once the subscription form has been completed this should be faxed to Citco at + 31 20 572 2610. Please could you also kindly let me know by e-mail the shareholder name you will use and the remitting bank that will be sending the funds to CITCO, our administrator. The NAVs and contract notes are distributed by CITCO to the communication details provided on the subscription agreement after finalisation of the NAV. This is generally within 30 days after dealing date.

>

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>

> If you require any further information, please do not hesitate to contact Stephane Muuls or myself.

>

> With kind regards

>

> Annie Hudson

> Fairfield Greenwich (UK) Limited

> 32 Dover Street

> London W1S 4NE

> Ph: +44 20 7409 0090

> Fx: +44 20 7409 3090

> Email: [ahudson@fgguk.com](mailto:ahudson@fgguk.com) <<mailto:ahudson@fgguk.com>>

> Web: [www.fggus.com](http://www.fggus.com) <<http://www.fggus.com>>

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>> <<Sentry Class B info mem 7-03 \_8-1-03\_.pdf>> >> <<Sentry Class B tear sheet (Nov-03) Final.pdf>> >> <<Fairfield Sentry Class B - Subscription Documents - Stephane.pdf>>

(See attached file: Sentry Class B info mem 7-03 \_8-1-03\_.pdf)

(See attached file: Sentry Class B tear sheet (Nov-03) Final.pdf)

(See attached file: Fairfield Sentry Class B - Subscription Documents -  
Stephane.pdf)

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# **Exhibit 8**

## Microsoft Outlook

---

**From:** AMS CFS Client Desk  
**Sent:** Wednesday, February 20, 2008 10:11 AM  
**To:** 'aurore.foidart@bnpparibas.com'  
**Subject:** RE: Subscription Fairfield Sigma Limited Fund  
**Attachments:** Final Sigma Offering Memo - Oct 2004.pdf

Dear Aurore,

With reference to below, please find attached the required information and document.

Should you have any questions or if you require any further information, please do not hesitate to contact us.

Yours sincerely,

Shakti Ratan  
Investor Relations Officer

Citco Fund Services (Europe) B.V.  
Citco Fund Services (Nederland) B.V.  
Telestone 8 - Teleport  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands

Phone General: (31) 20 5722 100  
Phone Client Desk: (31) 20 5722 850  
Fax : (31) 20 5722 610

Email: [amscfsclientdesk@citco.com](mailto:amscfsclientdesk@citco.com)

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-----Original Message-----

From: aurore.foidart@bnpparibas.com  
[mailto:[aurore.foidart@bnpparibas.com](mailto:aurore.foidart@bnpparibas.com)]  
Sent: Tuesday, February 19, 2008 2:50 PM  
To: AMS CFS Client Desk  
Subject: Subscription Fairfield Sigma Limited Fund

Good afternoon,

As requested per phone, can you please send us your updated wiring instructions and subscription documents for the above mentioned fund.

Thanks and regards,

Aurore Foidart

Hedge Funds Dealing  
BNP PARIBAS SECURITIES SERVICES LUXEMBOURG 33, rue de Gasperich Howald-Hesperange  
L-2085 Luxembourg

Phone: +352 26 96 27 49

Fax: +352 26 96 97 38

Email: [aurore.foidart@bnpparibas.com](mailto:aurore.foidart@bnpparibas.com)

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# **Exhibit 9**

**Ibarra, Maria (Citco)**

---

**From:** AMS CFS IRG 3  
**Sent:** Wednesday, September 24, 2008 2:15 PM  
**To:** pierre.theurillat@bnpparibas.com  
**Cc:** AMS CFS IRG 3  
**Subject:** RE: TRF #B4814 Fairfield Sentry 234.51 shares  
**Allocated to :** mib

Dear Pierre,

Please note that we have received instructions for RBC Geneva.

We are missing the subscription agreements from Citco Global Custody for both transfer.

Please contact to them.

Kind regards  
Maria Ibarra  
Senior Investor Relations Officer

Investor Relations  
Citco Fund Services  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands

Phone: +31 20 5722 195  
Fax : +31 20 5722 610  
Email: [amscfsirg3@citco.com](mailto:amscfsirg3@citco.com)  
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---

**From:** pierre.theurillat@bnpparibas.com [mailto:pierre.theurillat@bnpparibas.com]  
**Sent:** Monday, September 22, 2008 4:39 PM  
**To:** AMS CFS IRG 3  
**Subject:** Fw: TRF #B4814 Fairfield Sentry 234.51 shares

Dear Citco

You've send the mail bellow to Giovanni who work for RBC GENEVA.

I concerning two transfer in our favour of

234.51  
ISIN VGG3299L1004  
FAIRFIELD SENTRY LTD SHARES

9/24/2008



213.28  
ISIN: VGG3299L1004  
FAIRFIELD SENTRY LTD SHARES

RBC delivery instruction:  
Citco Bank Nederland, Dublin  
a/c 193162  
In favour of: Royal Bank of Canada (Suisse) Geneva

Our receive instruction:  
Citco Bank Nederland, Dublin  
a/c 190076  
In favour of: BNP Paribas (Suisse) SA

But I don't understand because you say that we didn't have instruction in place and our custodian tell me that the ctpty (RBC) has not instructed.

Please see the mail in reply from CITCO CLIENT DESK and I enclose the information request of CITCO

**Internet**

clientdesk@citco.com

To Pierre THEURILLAT

cc

Subject RE: your fairfield fax with your ref 190076 1250 S004377 and 190076 1250 S004378, ISS00181185

22/09/2008 16:09

Please respond to  
clientdesk@citco.com

Dear Pierre

we still await to receive the counter-party instructions for both transfers

Thanks to give me some news asap

BEST REGARDS

Pierre THEURILLAT  
BNP Paribas (suisse) SA  
Securities transfer

----- Forwarded by Pierre THEURILLAT/CH/EUROPE/GROUP on 22/09/2008 16:15 -----

**Internet**

giovanni.morelli@rbc.com

To Pierre THEURILLAT

cc

Subject TRF #B4814 Fairfield Sentry 234.51 shares

16/09/2008 14:53

9/24/2008

# **Exhibit 10**

**Yung, Suyin (Citco)**

---

**From:** Yung, Suyin (Citco)  
**Sent:** Friday, June 09, 2006 5:33 PM  
**To:** 'catherine.truong@bnpparibas.com'  
**Cc:** Ibarra, Maria (Citco); Fernandez, Maria João (Citco); Yung, Suyin (Citco)  
**Subject:** RE: Redemption in Fairfield Sentry 110.12 NAV April 30, BNP PARIBAS (SUISSE) SA

Catherine,

Please be advised that the contract note has been sent to the fax number mentioned in my previous e-mail and per post. We can send it again to the registered fax number (0041 58 21 22222), or to the registered e-mail address [GENEVE.FOND.OPS@BNPPARIBAS.COM](mailto:GENEVE.FOND.OPS@BNPPARIBAS.COM). I am not permitted to send this information to you, unless we receive an letter together with two authorized signatures of BNP Paribas (Suisse) SA stating that you are authorized to receive this information.

Suyin

---

**From:** catherine.truong@bnpparibas.com [mailto:catherine.truong@bnpparibas.com]  
**Sent:** Friday, June 09, 2006 5:22 PM  
**To:** Yung, Suyin (Citco)  
**Subject:** Re: Redemption in Fairfield Sentry 110.12 NAV April 30, BNP PARIBAS (SUISSE) SA

Suyin,

Is it possible to send us the confirmation of this trade.  
I can give you the details that you need.

Thanks for your comprehension,

Regards,

Catherine

## Internet

SYung@Citco.com - 09.06.2006 17:07

To: Catherine TRUONG

cc: MFernandez, Mbarra, SYung

Subject: **Redemption in Fairfield Sentry 110.12 NAV April 30, BNP PARIBAS (SUISSE) SA**

6/12/2006

Hi Catherine,

With reference to our telephone conversation of today, please be advised that if the fax number ( 0041 58 21 22222) we have registered in the system needs to be updated, we require a document stating the new fax number signed by two authorized persons.

Should you have any questions, please do not hesitate to contact us.

Best regards,

Suyin Yung  
Investor Relations Group  
Citco Fund Services (Europe) BV  
Naritaweg 165  
1043 BW Amsterdam  
the Netherlands

General Tel. 0031-20 5722 100  
Direct Tel. 0031-20 5722 179  
Direct Fax 0031-20 5722 610

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6/12/2006

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message, dans l'hypothese ou il aurait ete modifie.

6/12/2006

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ANWAR-CFSE-00218224

# **Exhibit 11**

**Fernandez, Maria João (Citco)**

---

**From:** Fernandez, Maria João (Citco)  
**Sent:** Monday, May 15, 2006 11:45 AM  
**To:** 'geneve.fonds.exec@bnpparibas.com'  
**Cc:** Ibarra, Maria (Citco); Yung, Suyin (Citco)  
**Subject:** Fairfield Sentry - redemption 110.12200 shares i/n/o BNP Paribas Private Bank  
Switzerland SA - NAV April 30, 2006

**Importance:** High

**URGENT**

---

Dear Sirs,

We refer to the above redemption.

Please note that we still have not received your amended instruction, as per our several fax requests. As this Fund only deals with 2 decimals we require the shareholders to amend their requests whenever they want to redeem shares with more than 2 decimal fractions.

Upon receipt of the amended instruction we will make payment and send you the contract note.

Should you have any questions, please do not hesitate to contact us.

Best regards

Maria João Fernandez  
Investor Relations Group  
Citco Fund Services (Europe) BV  
Naritaweg 165  
1043 BW Amsterdam  
the Netherlands

General Tel. 0031-20 5722 100

Direct Tel. 0031-20 5722 116

Direct Fax 0031-20 5722 610

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\*\*\*\*\*

# **Exhibit 12**



## Microsoft Outlook

---

**From:** Ibarra, Maria (Citco)  
**Sent:** Tuesday, August 29, 2006 9:28 AM  
**To:** Yung, Suyin (Citco)  
**Cc:** Fernandez, Maria João (Citco)  
**Subject:** FW: Statement position difference Fairfield Fund -URGENT-

Hi Suyin,

Could you please check the below?

Thanks.  
Maria.

-----Original Message-----

**From:** Hemsworth, Eddie (Citco)  
**Sent:** Tuesday, August 29, 2006 2:46 PM  
**To:** Ibarra, Maria (Citco)  
**Subject:** FW: Statement position difference Fairfield Fund -URGENT-

Hi Maria,

Can you help? Or pls pass to the right person.

Thanks,

Eddie

-----Original Message-----

**From:** geoffrey.lemaire@bnpparibas.com [mailto:geoffrey.lemaire@bnpparibas.com]  
**Sent:** Tuesday, August 29, 2006 2:37 PM  
**To:** Hemsworth, Eddie (Citco)  
**Cc:** emmanuel.kremmer@bnpparibas.com  
**Subject:** Statement position difference Fairfield Fund -URGENT-

Hello,

Do you have any news ?

Best regards,

Geoffrey

----- Forwarded by Geoffrey LEMAIRE/LU/EUROPE/GROUP on  
29.08.2006 14:36 -----

25.08.2006 10:40 Geoffrey LEMAIRE

BNP PARIBAS Luxembourg  
Service Bourse - Division Produits  
33, rue de Gasperich  
Immeuble H20 - Bloc C  
Howald - Hesperange  
L-2093 Luxembourg

tel : + 352 46 46 44 33 fax : + 352 46 46 91 21  
-----

To: EHemsworth

cc: Emmanuel KREMMER

Subject: Statement position difference Fairfield Fund -URGENT-

Hello,

We have a difference between the holding position that you confirm and the holding position that we have into our system.

So regarding the fund FAIRFIELD SIGMA LTD (isin code : VG G3299V108 5), you confirm us one position of 3974,26 shs on the holder ID 00120502 and an other position of 589,17 shs on the holder ID 00108703.

But on our side the both positions are on the holder ID 00120502.

So I think, there was a mistake regarding the booking of our purchase of 589,17 shs.

Could you investigate on your side, because this trade should be booked on the account of BNP Paribas Luxembourg,

Thanks for your help,

Best regards,

Geoffrey

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aurait ete modifie.

# **Exhibit 13**

Subject: RE: TRANSFER IN FREE - 93,56 FAIRFILED SENTRY LTD VGG3299L1004

Dear Michele,

Please be informed that so far we have not yet received instructions from your counterparty. We can only go ahead with this transfer upon instructions from the (current) registered shareholder, (ING Private Bank (Suisse))

They are required to complete and return the attached stock transfer form with authorized signatories. Please also confirm if there will be a change of beneficial ownership upon completion of this transfer. We also kindly request you to complete and return the attached subscription document.

Regards,

Gregorie Nieuw

-----Original Message-----

From: michele.laroche@bnpparibas.com

[mailto:michele.laroche@bnpparibas.com]

Sent: Thursday, September 07, 2006 11:26 AM

To: AMS CFS Client Desk

Subject: TRANSFER IN FREE - 93,56 FAIRFILED SENTRY LTD VGG3299L1004

Hello

Our ACC id 00000018 - Holder Id 00108703 - Fund Id 03302

Could you confirm the credit of

93,56 FAIRFILED SENTRY LTD VGG3299L1004

The Stock Transfer Form has been sent on the 01th of September

Thanks and regards

Michèle Laroche

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(See attached file: Fairfield Sentry.pdf) (See attached file: Fairfield Sentry Ltd short form Oct 04.pdf)



05 SEP. 2006

**CITCO FUND SERVICES (EUROPE)**

Citco Building, Naritaweg  
165. NL-1043 BW Amsterdam

Luxembourg, august 4th 2006

O/REF : JFG  
00 352 46 46 41 06

RE : Transfer of Fairfield shares

=====

Please find enclosed stock transfer forms signed by both parties.

Best regards

A handwritten signature in black ink, appearing to read "Martine Kirsch", written over a horizontal line.

**Martine KIRSCH**

BNP PARIBAS LUXEMBOURG

72710552

BNP PARIBAS LUXEMBOURG - Société Anonyme - Siège Social : 10A Boulevard Royal, L-2093 Luxembourg  
BNP PARIBAS LUXEMBOURG - Société Anonyme - Siège Social : 10A Boulevard Royal, L-2093 Luxembourg n° B 6754  
Tél. : +352 46 46-1 - Fax : +352 46 46 9000 - Télex : 2332 A - Swift Code : BNPA LU LL - TVA : LU 113 801 59 - R.C.S. Luxembourg n° B 6754

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# **Exhibit 14**



## Microsoft Outlook

---

**From:** AMS CFS IRG 3  
**Sent:** Wednesday, September 10, 2008 11:59 AM  
**To:** Brittany\_EASEY@bnpparibas.com  
**Cc:** AMS CFS IRG 3; Fernandez, Maria João (Citco)  
**Subject:** RE: FW: URGENT: Final Request- Harrier Holdings Ltd Re Registration- Citco, Amsterdam- NGA FAIRFIELD LTD SHS INITIAL- BE  
**Attachments:** NGA ShrTransfer.pdf; NGA Fairfield Subdoc for transfers.pdf

Dear Brittany,

Please find attached the sub doc and transfer form, as per your request.

Kind regards  
Maria Ibarra  
Senior Investor Relations Officer

Investor Relations  
Citco Fund Services  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands

Phone: +31 20 5722 195  
Fax : +31 20 5722 610  
Email: [amscfsirg3@citco.com](mailto:amscfsirg3@citco.com)  
Website: [www.citco.com](http://www.citco.com)

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**From:** Brittany\_EASEY@bnpparibas.com [mailto:Brittany\_EASEY@bnpparibas.com]  
**Sent:** Wednesday, September 10, 2008 11:54 AM  
**To:** Fernandez, Maria João (Citco)  
**Subject:** Re: FW: URGENT: Final Request- Harrier Holdings Ltd Re Registration- Citco, Amsterdam- NGA FAIRFIELD LTD SHS INITIAL- BE  
**Importance:** High

Hello,

Thank you for your reply. Can you please send me a copy of the subscription document and transfer form you require BNP to complete.  
Thank you.

Regards,

Brittany Easey  
Project Administrator  
BNP Paribas Securities Services  
Liberte House, 19-23 La Motte Street  
St. Helier, Jersey JE4 5RL  
Tel: +44 (0) 1534 813927  
Fax: +44 (0) 1534 849301  
e-mail: brittany\_easey@je.bnpparibas.com

## Internet

MFernandez@Citco.com

09/09/2008 08:03

To: Brittany EASEY  
cc: amscfsirg3@citco.com  
Subject: FW: URGENT: Final Request- Harrier Holdings Ltd Re Registration- Citco, Amsterdam- NGA  
FAIRFIELD LTD SHS INITIAL- BE

Dear Brittany,

The below email came returned undelivered. I am resending it now. Please confirm receipt.

Kind regards  
Joao

---

**From:** AMS CFS IRG 3  
**Sent:** Friday, September 05, 2008 2:12 PM  
**To:** Brittany\_EASEY@bnpparibas.com  
**Cc:** AMS CFS IRG 3; Fernandez, Maria João (Citco)  
**Subject:** FW: URGENT: Final Request- Harrier Holdings Ltd Re Registration- Citco, Amsterdam- NGA FAIRFIELD LTD SHS INITIAL- BE

Dear Brittany,

Please find attached the completed documents.

Kind regards  
Maria Ibarra  
Senior Investor Relations Officer

Investor Relations  
Citco Fund Services  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands

Phone: +31 20 5722 195  
Fax : +31 20 5722 610  
Email: amscfsirg3@citco.com  
Website: [www.citco.com](http://www.citco.com)

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---

**From:** Brittany\_EASEY@bnpparibas.com [mailto:Brittany\_EASEY@bnpparibas.com]  
**Sent:** Wednesday, September 03, 2008 10:09 AM  
**To:** AMS CFS IRG 3  
**Subject:** RE: URGENT: Final Request- Harrier Holdings Ltd Re Registration- Citco, Amsterdam- NGA FAIRFIELD LTD SHS INITIAL- BE  
**Importance:** High

Hello,

Can you please complete the below documents and return as soon as possible.  
Thank you.

Regards,

Brittany Easey  
Project Administrator  
BNP Paribas Securities Services  
Liberte House, 19-23 La Motte Street  
St. Helier, Jersey JE4 5RL  
Tel: +44 (0) 1534 813927  
Fax: +44 (0) 1534 849301  
e-mail: brittany\_easey@je.bnpparibas.com

## Internet

amscfsirg3@citco.com

To: Brittany EASEY

cc: amscfsirg3@citco.com

02/09/2008 12:14

Subject: RE: URGENT: Final Request- Harrier Holdings Ltd Re Registration- Citco, Amsterdam- NGA FAIRFIELD LTD SHS INITIAL- BE

Dear Brittany,

Please send us a copy of the attachments you first sent with below email. We have received and actioned so far for this particular team (AMS CFS IRG3) the questionnaires for the following Funds: Fairfield Sentry, Fairfield Sigma, Fairfield Redstone, Fairfield Baron, Fairfield Raven and Chester Global. However the one regarding NGA we cannot locate it.

Thank you in advance.

Kind regards  
Joao

Maria João Fernandez  
Account Manager

Citco Fund Services (Europe) B.V.  
Telestone - Teleport  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands  
General phone: 0031 - 20 5722 850  
Direct phone: 0031 - 20 5722 116  
Fax: 0031 - 20 5722 610  
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**From:** Brittany\_EASEY@bnpparibas.com [mailto:Brittany\_EASEY@bnpparibas.com]  
**Sent:** Monday, September 01, 2008 3:27 PM  
**To:** AMS CFS IRG 3  
**Subject:** URGENT: Final Request- Harrier Holdings Ltd Re Registration- Citco, Amsterdam- NGA FAIRFIELD LTD SHS  
INITIAL- BE  
**Importance:** High

Good Morning / Afternoon

**IMPORTANT - THIS COMMUNICATION REQUIRES YOUR IMMEDIATE ATTENTION**

We write further to our previous communications with yourselves regarding the re registration of all Harrier Holdings Ltd accounts into the new nominee name of BNP Paribas Securities Nominees Ltd.

We have recently contacted you on a number of occasions by either telephone, email, fax or post. According to our records we have not received a response from yourselves regarding the necessary completion of documents.

We understand that all documents you receive from us need to be original certified copies and the legal documents must be signed by Harrier authorised signatories. Please note you will receive the signed documents closer to the migration date in addition to any application forms you would like completed. Please note **at present we are only collating data** in preparation for the migration and your assistance is required to ensure we have the correct funds linked to the correct administrators.

By **close of business today** we require you to:

- Complete and return the questionnaire document
- Complete the spreadsheet attached, simply confirming that you administer the funds listed on the spreadsheet by entering a YES or NO in column E. **We do not require any further information on these funds.**

The purpose of our previous communications was to provide you with prior notification of the re registration. As the migration is only a few months away it is imperative that the attached documents are completed and are returned to us via email to:

**[bp2sukoffshore.cifre-registrationteam@bnpparibas.com](mailto:bp2sukoffshore.cifre-registrationteam@bnpparibas.com)**

If you need further clarification please contact one of our team listed below:

Fran Le Breton 00 44 1534 813859  
Geraldine Richardson 00 44 1534 813974  
Brittany Easey 00 44 1534 813927  
Nathalie O'Brien 00 44 1534 813927

Kind Regards

Harrier Re-Registration Team  
BNP Paribas Securities Services  
Liberte House, 19-23 La Motte Street  
St.Helier, Jersey, JE4 5RL  
Tel: 00 44 1534 813859  
Fax: 00 44 1534 849301  
Email: bp2sukoffshore.cifre-registratointeam@bnpparibas.com

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# **Exhibit 15**

**Fernandez, Maria João (Citco)**

---

**From:** louise.jarvis@je.bnpparibas.com  
**Sent:** Tuesday, August 28, 2007 9:54 AM  
**To:** AMS CFS IRG 3  
**Subject:** Re: Fairfield Sentry SUB Harrier Holdings Limited a/c 306595 USD 2,000,000 - signature to be verified  
**Attachments:** RBSI Sig List 18.7.06.doc; A092509f6-4c71-46ab-ae17-85cee3d5b9ad.TIF  
**Allocated to :** JOAO

Good Morning,

I can confirm the signatories are Ann Alderson and Elizabeth McCloskey.

Thank you for advising our order has been accepted.

Kind Regards,

Louise Jarvis  
Team Manager, CIF Dealing Desk

BNP Paribas Securities Services  
Liberte House, 19-23 La Motte Street, St Helier, Jersey JE4 5RL

Tel +44 1534 813922  
Fax +44 1534 849306

Email: [louise.jarvis@bnpparibas.com](mailto:louise.jarvis@bnpparibas.com)  
or [unittrustdealers@bnpparibas.com](mailto:unittrustdealers@bnpparibas.com)

-----"AMS CFS IRG 3" <[amscfsirg3@citco.com](mailto:amscfsirg3@citco.com)> wrote: -----

To: "Jarvis, Louise (Institutional, RBSI, Jersey)" <[Louise.Jarvis@rbsint.com](mailto:Louise.Jarvis@rbsint.com)>  
From: "AMS CFS IRG 3" <[amscfsirg3@citco.com](mailto:amscfsirg3@citco.com)>  
Date: 08/27/2007 09:19AM  
cc: "AMS CFS IRG 3" <[amscfsirg3@citco.com](mailto:amscfsirg3@citco.com)>  
Subject: Fairfield Sentry SUB Harrier Holdings Limited a/c 306595 USD 2,000,000 - signature to be verified

Dear Ms Jarvis,

Please confirm that the signature on the attached subscription agreement is from Ms E. McCloskey as it looks different from that on the signatures list we have on file (also attached for your convenience).

Furthermore, please be advised that the subscription has been approved by the Fund for the end of August dealing, this means that the above amount must be received at the Fund's account no later than August 29, 2007.

Thank you in advance.

With kind regards  
Joao

9/4/2007

Maria João Fernandez  
Senior Investor Relations Officer

Citco Fund Services (Europe) B.V.  
Telestone - Teleport  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands  
General phone: 0031 - 20 5722 850  
Direct phone: 0031 - 20 5722 116  
Fax: 0031 - 20 5722 610  
Email: [AMSCFSIRG3@citco.com](mailto:AMSCFSIRG3@citco.com)  
Website: [www.citco.com](http://www.citco.com)

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**From:** Jarvis, Louise (Institutional, RBSI, Jersey) [<mailto:Louise.Jarvis@rbsint.com>]  
**Sent:** Friday, February 09, 2007 2:07 PM  
**To:** AMS CFS IRG 2  
**Subject:** Harrier Holdings Limited Updated Signature List

Good Afternoon,

Please find attached as requested.

<<RBSI Sig List 18.7.06.doc>>

**Louise Jarvis**  
**SSG Unit Trust Dealers**  
**RBSI Custody Bank Ltd**  
**Tel +44 1534 286118**  
**Fax +44 1534 286078**  
**Email [louise.jarvis@rbsint.com](mailto:louise.jarvis@rbsint.com)**  
**Village [ssgutdealers@rbsint.com](mailto:ssgutdealers@rbsint.com)**

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**The Royal Bank of Scotland (Gibraltar) Limited** Registered Office: 1 Corral Road, P.O. Box 766, Gibraltar.  
**RBSI Custody Bank Limited** Registered Office: Royal Bank House, 71 Bath Street, St. Helier, Jersey JE4 8PJ.  
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Isle of Man Business address: Royal Bank House, 2 Victoria Street, Douglas, Isle of Man, IM99 1NJ.  
Guernsey Business address: Royal Bank Place, 1 Gategny Esplanade, St. Peter Port, Guernsey, GY1 2HS.  
**RBSI Asset Management Limited & RBSI Fund Administration Limited** Registered Office: Royal Bank House, 71 Bath Street, St. Helier, Jersey JE4 8PJ. **RBSI Fund Administration Limited** Business address: 19-23 La Motte Street, St. Helier, Jersey JE4 5RL.  
**RBSI Fund Services (Guernsey) Limited** Registered Office: Royal Bank Place, 1 Gategny Esplanade, St. Peter Port, Guernsey, GY1 2HS.  
**RBSI Trustee Services (Guernsey) Limited (RBSITS) trading as RBS International.** Registered office: Royal Bank Place, 1 Gategny Esplanade, St. Peter Port, Guernsey, GY1 4BQ.  
**The Royal Bank of Scotland Trust Company (I.O.M.) Limited trading as RBS International.** Registered office: Royal Bank House, 2 Victoria Street, Douglas, Isle of Man, IM99 1NJ.

(3) Regulation

**The Royal Bank of Scotland International Limited** :-  
Regulated by the Jersey Financial Services Commission for carrying on banking, insurance and investment business.  
Licensed by the Isle of Man Financial Supervision Commission to conduct banking and investment business and the Insurance and Pensions Authority in respect to general business.  
Licensed under the Banking Supervision (Bailiwick of Guernsey) Law, 1994, the Protection of Investors (Bailiwick of Guernsey) Law 1987, as amended and the Insurance Managers and Insurance Intermediaries (Bailiwick of Guernsey) Law 2002.  
**RBSI Custody Bank Limited** :-  
Regulated by the Jersey Financial Services Commission for carrying on banking and investment business.  
Licensed by the Isle of Man Financial Supervision Commission to conduct banking and investment business.  
Licensed under the Banking Supervision (Bailiwick of Guernsey) Law 1994 and the Protection of Investors (Bailiwick of Guernsey) Law 1987, as amended.  
**RBSI Asset Management Limited and RBSI Fund Administration Limited** are both regulated by the Jersey Financial Services Commission.  
**RBSI Fund Services (Guernsey) Limited** is licensed under the Protection of Investors (Bailiwick of Guernsey) Law 1987 to conduct Management, Administration, Promotion, Subscription, Registration and Dealing, in respect of Collective Investment Schemes and General Securities and Derivatives.  
**The Royal Bank of Scotland (Gibraltar) Limited** is licensed by the Gibraltar Financial Services Commission to transact investment business (licence number FSC00159B) and under the Banking Ordinance of Gibraltar 1992.  
**RBSI Trustee Services (Guernsey) Limited (RBSITS) trading as RBS International,** Regulated by the Guernsey Financial Services Commission and licensed under the Protection of Investors (Bailiwick of Guernsey) Law, 1987, as amended, and the Regulation of Fiduciaries, Administration Businesses and Company Directors, etc (Bailiwick of Guernsey) Law, 2000, as amended.  
**The Royal Bank of Scotland Trust Company (I.O.M.) Limited trading as RBS International,** Licensed by the Isle of Man Financial Supervision Commission to conduct banking and investment business.

(4) This e-mail facility is provided by **the Companies**, which are members of The Royal Bank of Scotland Group.

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BNP Paribas Securities Services Custody Bank Limited is regulated by the Jersey Financial Services Commission to carry on deposit-taking business under the Banking Business (Jersey) Law 1991, as amended, and in the carrying on of investment business.

BNP Paribas Securities Services Fund Administration Limited is regulated by the Jersey Financial Services Commission in the carrying on of Trust Company Business.

The BNP Paribas Securities Services (Holdings) Limited also includes BNP Paribas Securities Services Trust Company (Jersey) Limited, BNP Paribas Securities Services Trust Company Limited, BNP Paribas Securities Services AM Limited, MAMCO Nominees (Jersey) Limited, Bath Street Nominees Limited and Harrier Holdings Limited

The above mentioned companies are registered at Liberte House, 19-23 La Motte Street, St Helier, Jersey, JE2 4SY.

BNP Paribas Jersey Branch is regulated by the Jersey Financial Services

9/4/2007

Commission to carry on deposit-taking business under the Banking Business  
(Jersey) Law 1991, as amended, in the carrying on of investment business.  
Registered Office: BNP House, Anley Street, St Helier, Jersey, JE4 8RD

BNP Paribas Jersey Trust Corporation Limited and BNP Paribas Fund  
Services (Jersey) Limited are regulated by the Jersey Financial Services  
Commission in the carrying on of Trust Company Business. Registered  
Office: BNP House, Anley Street, St Helier, Jersey, JE2 3QE

9/4/2007

# **Exhibit 16**

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**From:** AMS CFS IRG 3  
**Sent:** Tuesday, March 04, 2008 4:19 PM  
**To:** 'MICHAEL.BIGGS@OMIADMIN.COM'  
**Cc:** AMS CFS IRG 3  
**Subject:** FW: Fairfiled Sentry Fund Ltd

FYI

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**From:** AMS CFS IRG 3  
**Sent:** Tuesday, March 04, 2008 3:39 PM  
**To:** 'unittrustdealers@je.bnpparibas.com'  
**Cc:** AMS CFS IRG 3  
**Subject:** RE: Fairfiled Sentry Fund Ltd

Dear Marta,

Regarding the subscription of USD 750,000.- on behalf of Harrier Holdings Limited a/c 306595, please note that we are missing the subscription agreement.

Please find attached this document, fill and duly sign and send it to us at your earliest convenience.

Kind regards  
Maria Ibarra  
Senior Investor Relations Officer

Investor Relations  
Citco Fund Services  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands

Phone: +31 20 5722 195  
Fax : +31 20 5722 610  
Email: [amsctf3@citco.com](mailto:amsctf3@citco.com)  
Website: [www.citco.com](http://www.citco.com)

**Citco Investor Relations operating in:** Amsterdam • Bahamas • Bermuda • Cayman • Cork •  
Curaçao • Dublin • Luxembourg • New York • San Francisco • Sydney • Toronto

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**From:** AMS CFS IRG 3  
**Sent:** Tuesday, February 19, 2008 8:53 AM  
**To:** 'unittrustdealers@je.bnpparibas.com'  
**Cc:** AMS CFS IRG 3  
**Subject:** RE: Fairfiled Sentry Fund Ltd

Dear Marta,

3/14/2008

Please note that on Friday 15th we received a cancellation request of the below subscription, so the trade has been deleted from our system.

Please confirm.

Kind regards  
Maria Ibarra  
Senior Investor Relations Officer

Investor Relations  
Citco Fund Services  
Naritaweg 165  
1043 BW Amsterdam  
The Netherlands

Phone: +31 20 5722 195  
Fax : +31 20 5722 610  
Email: [amscfsirg3@citco.com](mailto:amscfsirg3@citco.com)  
Website: [www.citco.com](http://www.citco.com)

**Citco Investor Relations operating in:** Amsterdam • Bahamas • Bermuda • Cayman • Cork •  
Curaçao • Dublin • Luxembourg • New York • San Francisco • Sydney • Toronto

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**From:** [unittrustdealers@je.bnpparibas.com](mailto:unittrustdealers@je.bnpparibas.com) [<mailto:unittrustdealers@je.bnpparibas.com>]  
**Sent:** Monday, February 18, 2008 12:33 PM  
**To:** AMS CFS IRG 3  
**Subject:** Fairfiled Sentry Fund Ltd

Good Afternoon

Please could you kindly provide us with the confirmation of the deal forward top you via fax on Friday 15/02/08 to placed Subscription for :

FAIRFIELD SENTRY LTD  
AMOUNT: USD 100,000.00  
DESIGNATION: 306596  
FOR HARRIER HOLDINGS LIMITED

payment valuated for 22/02/08

Please could you kindly provide us with the trade date and when we can expecting Contract Note?

Please do not hesitate to contact us if you are require more information .

Many Thanks

Kind regards  
Marta Neubauer  
CIF Dealing Desk

BNP Paribas Securities Services  
Liberte House, 19-23 La Motte Street

3/14/2008

St Helier, Jersey JE4 5RL

Louise Jarvis +44 1534 813922  
Alison Canham +44 1534 813958  
Josie Wilson +44 1534 813981  
Marta Neubauer +44 1534 813959  
Chris Wynn +44 1534 813960

Fax +44 1534 849306

Email: [unittrustdealers@bnpparibas.com](mailto:unittrustdealers@bnpparibas.com)

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BNP Paribas Securities Services Custody Bank Limited is regulated by the Jersey Financial Services Commission to carry on deposit-taking business under the Banking Business (Jersey) Law 1991, as amended, and in the carrying on of investment business.

BNP Paribas Securities Services Fund Administration Limited is regulated by the Jersey Financial Services Commission in the carrying on of Trust Company Business.

The BNP Paribas Securities Services (Holdings) Limited also includes BNP Paribas Securities Services Trust Company (Jersey) Limited, BNP Paribas Securities Services Trust Company Limited, BNP Paribas Securities Services AM Limited, MAMCO Nominees (Jersey) Limited, Bath Street Nominees Limited and Harrier Holdings Limited

The above mentioned companies are registered at Liberte House, 19-23 La Motte Street, St Helier, Jersey, JE2 4SY.

BNP Paribas Jersey Branch is regulated by the Jersey Financial Services Commission to carry on deposit-taking business under the Banking Business (Jersey) Law 1991, as amended, in the carrying on of investment business. Registered Office: BNP House, Anley Street, St Helier, Jersey, JE4 8RD

BNP Paribas Jersey Trust Corporation Limited and BNP Paribas Fund Services (Jersey) Limited are regulated by the Jersey Financial Services Commission in the carrying on of Trust Company Business. Registered Office: BNP House, Anley Street, St Helier, Jersey, JE2 3QE

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Old Mutual International (Guernsey) Limited is a private company limited by shares, incorporated in Guernsey with Registered No: 2424.

Its registered office and principle place of business is situated at Fairbairn House, Rohais, St Peter Port, Guernsey. Old Mutual Fund Managers (Guernsey) Limited is a private company limited by shares, incorporated in Guernsey with Registered No: 13139

Old Mutual Guernsey is a branch of Old Mutual Life Assurance Company (South Africa) Limited.

Old Mutual Life Assurance Company (South Africa) Limited is a limited liability company incorporated in South Africa under Registration No: 99/04643/06. Its registered office is situated at Mutual Park, Jan Smuts Drive, Pinelands, Cape Town, South Africa

3/14/2008

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